



# HIPAA Collaborative of Wisconsin Fall Conference

It's Not Over Yet...Law Enforcement, Security & NPI  
October 8, 2004



## PROGRAM SUMMARY

- 7:45-8:30 Registration & Continental Breakfast
- 8:30-8:45 Welcome & Introductions
- 8:45-9:45 **Keynote Session 1- Information Security Laws, Standards & Guidance: Application to Healthcare Entities**  
Lisa Gallagher, BSEE, CISM, CEO, Javelin Technology
- 9:45-10:00 Break
- 10:00-11:00 **Keynote Session 2- The HIPAA National Provider Identifier (NPI)**  
Rachel K. Seeger, MPA, MA, HIPAA Coordinator, U.S. Dept of Health and Human Services, Centers for Medicare and Medicaid Services, Region V
- 11:00-11:15 Break
- 11:15-12:15 Breakout Session 1
- 12:15-1:15 Lunch
- 1:15-2:15 Breakout Session 2
- 2:15-2:30 Break
- 2:30-3:30 Privacy/Security Roundtable OR EDI Breakout Session 3

## The Kalahari Resort & Convention Center

1305 Kalahari Drive  
Wisconsin Dells  
1-877-254-5466

From Interstate 90-94, take Exit 92 (Hwy 12). Go west on Hwy 12 to first stoplight. Turn right on Meadow View Dr. Turn right on Kalahari Dr.

The room rate for Thursday evening is **\$99** for reservations made on or before **September 7, 2004.**

HIPAA COW is proud to offer this conference which was made possible in-part with the help of a grant from the Centers for Medicare and Medicaid Services.



### Please circle the breakout you will attend in each session:

- Breakout Session 1:     **Privacy** - Disclosure of PHI to Law Enforcement Agencies: A Working Tool  
                                  **Security** - Identity Management Strategy - Ensuring the Least Privilege Principle  
                                  **EDI** - Technical & Operational Insights Into NPI
- Breakout Session 2:     **Privacy/Security** - Identity Theft: The Next Generation of Compliance Concerns  
                                  **EDI** - HIPAA COW EDI Workgroup - Updates on Eligibility, NPI & Other Deliverables
- Roundtable Discussion: **Privacy & Security Combined Roundtable**
- Or Breakout Session 3: **EDI** -- Medicare's National Coordination of Benefits Contract: What is it, How Does it Work and What Does It Mean for Providers

**\$125 Early Bird Registration** - postmarked, faxed or called-in on or before **Friday, September 24, 2004**

**\$150 Registration** after September 24, 2004

Method of Payment:

- Check - Payable to HIPAA COW
- Credit Card (Visa or MasterCard)  
credit card # \_\_\_\_\_  
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Send payment & registration to:  
HIPAA COW  
Attn: Marjean Griggs  
P.O. Box 372  
Watertown, WI 53094  
[marjean@realblossoms.com](mailto:marjean@realblossoms.com)  
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Organization \_\_\_\_\_  
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## **KEYNOTE SESSION 1 - Information Security Laws, Standards & Guidance: Application to Healthcare Entities**

Today healthcare organizations face complex legal, regulatory and due diligence challenges in the area of information security. Ms. Gallagher will cover information security laws, standards and guidance, and organization's security assurance needs. She will discuss in detail NIST Special Publication 800-66 as well as HIPAA, ISO, CMS, IEEE and Risk Analysis Methodologies.

### **Lisa Gallagher, BSEE, CISM, CEO, Javelin Technology Group**

With more than 20 years experience in security engineering, hardware design and software development, Ms. Gallagher was most recently the Senior Vice President of the Health Information Technology Department at URAC, an independent, nonprofit healthcare accreditation organization. In that role, she created and managed a series of information-focused accreditation programs. Significantly, Ms. Gallagher was the original founder of the NIST/URAC/WEDI Healthcare Security Workgroup, an open-membership industry workgroup dedicated to facilitating communication and consensus on best practices for information security in healthcare. She is also founder and advisor to the Health Information Security Alliance, a new collaborative roundtable of companies in the healthcare community interested in the business and financial impacts of protecting the healthcare delivery system. Ms. Gallagher recently founded Javelin Technology Group, an information technology and security consulting firm. Ms. Gallagher is a Certified Trust Technology Evaluator (NSA), has a Bachelor of Science degree in electrical engineering and is Certified Information Security Manager (CISM) (ISACA).

## **KEYNOTE SESSION 2 - The HIPAA National Provider Identifier (NPI)**

This presentation will cover key issues in preparing for the implementation of the National Provider Identifier (NPI). Ms. Seeger will review the Final HIPAA NPI Rule, important NPI dates, who will be eligible to obtain an NPI, how will health care organizations obtain an NPI, and how will the new NPI directly impact your business. As those in the health care industry evaluate and plan for the impact of the NPI, they need to begin taking action to ensure that they are not adversely affected by the switch to the NPI.

### **Rachel K. Seeger, MPA, MA, HIPAA Coordinator, U.S. Department of Health and Human Services, Centers for Medicare and Medicaid Services (CMS), Region V**

Ms. Seeger is responsible for leading compliance and education efforts across six states addressing the Health Insurance Portability and Accountability Act of 1996. She has extensive experience in regulatory compliance and has lectured nationally and across the region on the HIPAA Transactions and Code Sets Standards and Security issues. Rachel serves on the Workgroup for Electronic Data Interchange's (WEDI) Strategic National Implementation Process (SNIP) Steering Committee, and is co-chair of the WEDI Regional SNIP Affiliates Workgroup. Locally, she sits on the Steering Committee of HIPAA Illinois and chairs the HIPAA Illinois Education workgroup. In addition, Rachel is the CMS Region V HIPAA Privacy Officer overseeing compliance with the HIPAA Privacy standards within her own agency. Rachel began her federal career as a 1993 Presidential Management Fellow with the Centers for Disease Control and Prevention in Atlanta, Georgia, where she was a legislative policy analyst. She received her Masters from the University of Southern California School of Policy, Planning, and Development in Los Angeles.

## **EDI SESSIONS**

### **Session 1 - Technical & Operational Insights Into NPI**

Now that you have the background information regarding the National Provider Identifier (NPI) from Rachel Seeger's presentation, Charles Waldhauser will cover some of the more technical aspects of the National Plan as well as the Provider Enumeration System (NPPE) which will assign the NPI. Charles will share information regarding the NPPE development and other Enumerator and Implementation Issues. Please join us in this informative session as the NPI will impact all aspects of health care.

### **Charles Waldhauser, Senior Technical Advisor to the Division of Provider/Supplier Enrollment, Program Integrity Group, Office of Financial Management, Centers for Medicare & Medicaid Services (CMS)**

Mr. Waldhauser has worked for CMS in various capacities for more than 30 years. He is the team leader for implementing the National Provider Identifier (NPI) mandated by the Health Insurance Portability and Accountability Act of 1996, and is overseeing the development of the National Plan and Provider Enumeration System (NPPE), which will assign the NPI to providers. Mr. Waldhauser has a Bachelor of Engineering Science Degree from the John Hopkins University.

### **Session 2 - HIPAA COW EDI Workgroup - Updates on Eligibility, NPI and Other Deliverables**

The HIPAA COW EDI Workgroup has been working towards various deliverables with respect to the 270/271 Eligibility Transaction and the National Provider Identifier. This session will provide an overview of the progress the HIPAA COW EDI workgroup has made and the deliverables that the group is currently working on. In addition, there will be time for a discussion of this information in order to gather new thoughts and potentially new direction.

### **Chris Duprey, Vice President, CARIS Innovation**

Ms. Duprey has over 17 years of health care experience. Over the past 3 ½ years, Chris has consulted many organizations in the public and private sector through their HIPAA initiatives in assessment, planning and execution. Chris has been involved with HIPAA COW since 2002, participating as co-leader on the EDI workgroups including the present workgroups for eligibility and NPI. Recently, Chris was nominated to the HIPAA COW Board of Directors where she will take an active role. CARIS Innovation is also a corporate partner to WHIMA (Wisconsin Health Information Management Association), where Chris has facilitated workshops and presentations on HIPAA over the last 3 years. Prior to HIPAA, Chris spent 12 years focused on the health plan side of health care in various roles including Director of Network Strategy Management, Director of Client Development, Manager of Product Development and Manager of Provider Relations.

### **Tina Bairstow, Systems Analyst, Meriter Health Services**

Tina has been working at Meriter Health Services for 2 1/2 years where she coordinates HIPAA compliance with respect to Transactions and Code Sets for Meriter. Before coming to Meriter, Tina held positions with EDS as well as Edgewood High School. She has a B.S. in Secondary Education with a specialty in Mathematics from University of Illinois and just recently earned her MBA from the University of Wisconsin - Madison.

## EDI SESSIONS Continued

### **Session 3 - Medicare's National Coordination of Benefits Contract: What Is it, How Does It Work and What Does It Mean to Providers?**

This session will provide insight into the National Coordination of Benefits - the new COBC, the pilots, the migration, and the new world of electronic claims crossover. Also learn answers to questions such as...What is a COBC? How does it work differently from today's COB processes? When will it all happen? Will you notice any differences? Discover the answers to these questions and more.

**Randall Watkins, Manager of EDI & the HIPAA Electronic Transaction Set Coordinator, United Gov't Services.** Mr. Watkins has previously served as UGS Manager of Customer Service and UGS Manager of Systems. In all, he has over 28 years of Medicare and Systems experience. Prior to his current focus on HIPAA, Mr. Watkins led the UGS migration from three separate Legacy mainframe-based systems to the server-based SmartTransfer EDI system used today. This platform has automated submitter interfaces for HIPAA self-testing and HIPAA production, including the return of a 997, and other electronic reports within a few hours of file submission, 24 hours a day, seven days a week.

**Ross Green, Crossover Analyst, WPS Medicare.** Mr. Green's primary duties include the support of electronic crossover and paper medigap insurers in Wisconsin, Illinois, Michigan and Minnesota for Medicare Part B. He also participates in the testing and conversion of Coordination of Benefits trading partners to the 837 4010A1 format for Medicare's outbound EDI transactions. Ross attended the University of California - San Diego where he earned a BA in Mathematics with an emphasis in Computer Science.

## PRIVACY & SECURITY SESSIONS

### **Session 1 Privacy- Disclosure of PHI to Law Enforcement Agencies: A Working Tool**

Over the past several months, representatives from HIPAA COW, law enforcement agencies, and the Attorney General's Office have worked together to develop a meaningful tool which will assist covered entities in determining the appropriate steps in disclosing PHI to law enforcement agencies. Sarah Coyne will present this long-awaited product and guide audience participants in using the tool effectively in their organizations.

**Sarah Coyne, JD, Quarles & Brady.** As a member of the health law group with Quarles & Brady, Ms. Coyne represents and advises health care providers (entities and individuals) and health plans regarding many issues including risk management, confidentiality and privacy of healthcare information, medical malpractice defense, contract negotiations, regulatory compliance and responding to governmental investigations, reimbursement, provider licensing, and medical staff issues. Sarah frequently gives presentations on health-related issues and she co-authors the health law chapter of the State Bar's Annual Survey of Wisconsin law. She is involved in the preemption workgroup of HIPAA COW. Sarah graduated from UW-Madison with a degree in Occupational Therapy and was a therapist at the William S. Middleton Memorial Veterans Hospital in Madison before attending and graduating from law school at UW-Madison.

## PRIVACY & SECURITY SESSIONS Continued

### **Session 1 Security - Identity Management Strategy-Ensuring the Least Privilege Principle**

ProHealth Care has embarked on a project to implement a directory based identity management framework. This framework will provide consistent, compliant, and secure access to key information assets. Anyone who accesses ePHI, including clinicians, physicians and employees, will be identified with a specific role and stipulated based on this role. This presentation will review what identity management is and the high-level steps that are necessary to begin an identity management project.

**Cynthia Overby, Manager of Network Services, ProHealth Care.** Ms. Overby currently manages the infrastructure and security administration functions at ProHealth Care. Previously, she was the International Web Architectures Group Manager for Baxter HealthCare. She has been in the IT industry for 25 years and holds a Masters degree from Northern Illinois University.

### **Session 2 Privacy & Security- Identity Theft: The Next Generation of Compliance Concerns**

This session will focus on what is being considered the "next generation of compliance concerns" - identity theft. Topics in this session will include the susceptibility of health care organizations to identity theft; why identity theft is a compliance-related issue; methods of identity theft; and strategies for conducting risk assessments, investigations and prevention.

**Lyndsay Anderson, Corporate Compliance, Allina Hospitals & Clinics.** Ms. Anderson has served as a member of the Corporate Compliance Department for Allina Hospitals & Clinics, based in Minneapolis, MN. Lyndsay's primary responsibilities include development of compliance education and training; coordination of Allina's compliance hotline; coordination of compliance-related communications and outreach efforts; development of Allina's new employee code of conduct; and development of compliance policies. Lyndsay has written several articles on identity theft, created Allina's identity theft "toolkit" and assisted in the development of an identity theft investigation protocol. Lyndsay is currently in the process of completing her law degree at William Mitchell College. Prior to joining Allina, she worked as a law clerk for the law firm of Lockridge Grindal Nauen working on numerous health care and employment law matters. Prior to attending law school, she was a Senior Public Relations Coordinator for Fairview Health Services in Minneapolis.

### **Session 3 - Privacy & Security Roundtable**

This session will serve as a follow-up to the Security Roundtable held in September. Facilitators will lead participants to determine "Where is Your HIPAA Security Compliance Now?" Everyone will be encouraged to participate.

Facilitated by **Lisa Gallagher** (see bio above) and **Todd Fitzgerald, CISSP, CISA, CISM, Director of Information Systems Security & Systems Security Officer, United Gov't Services.** Todd is a member of the Board of Directors and co-chair of the Security Taskforce for HIPAA COW. He is a participant of CMS's Services/Gartner Security Best Practices Workgroup & the Blue Cross Blue Shield Association Information Security Advisory Group. Todd has held various management Information Technology positions with Fortune 500 & Fortune Global 250 companies such as IMS Health, Zeneca, Syngenta, and American Airlines. Todd has authored articles on HIPAA Security and frequently presents at conferences to promote security awareness. Todd holds a B.S. in Business from UW-LaCrosse and an MBA from Oklahoma State University.